

Goal 5



Compliance Assistance and Environmental Stewardship

Promote responsible environmental stewardship.

The Division of Compliance Assistance administers four programs: certification and licensing, environmental compliance assistance, environmental leadership (KY EXCEL), and brownfield redevelopment. The division's innovative approach to facilitating compliance and excellence is improving the environment for all Kentuckians. The division has five COR²E purposes:



kentucky operator
certification program

Certification – DCA provides training and testing services for environmental professionals certified for operators of wastewater, drinking water and solid waste facilities. These well-trained and knowledgeable professionals are entrusted with protecting public health.



environmental compliance assistance program

Outreach – DCA is a technical resource for all individuals with environmental questions and needs. Understanding and complying with a very diverse and extensive set of environmental requirements can be confusing. Even committed and experienced environmental professionals face times when they simply need help. DCA provides email and telephone assistance for anyone seeking help with an environmental concern.



Recognition and Redevelopment – DCA strongly believes that the future of Kentucky's environment depends on the stewardship of its citizens. Every day, people make both large and small decisions in their homes, workplaces and communities that can benefit or harm the environment. DCA is helping these individuals and regulated entities become more aware of the economic and environmental benefits that result from sustainable decisions and provides recognition through its programs. Additionally, Kentuckians benefit both economically and environmentally from redevelopment of underutilized properties.



Education - DCA is providing Kentucky with the knowledge it needs to care for Kentucky's environment. In a 2008 survey, respondents identified a lack of knowledge regarding environmental requirements as one of the greatest barriers to ensuring environmental compliance. The training opportunities provided by DCA equips front-line environmental professionals with the information they need to succeed in their environmental efforts.

DCA is uniquely positioned to partner with Kentucky's corporate and private citizens to proactively build environmental values and facilitate positive behaviors throughout Kentucky. DCA is accomplishing this through partnerships, training, mentoring and technical support.

Objective 1 - Certify qualified environmental professionals.

Tactic 1.1: Certify environmental professionals to maximize appropriate actions and effective operations at regulated locations.

Measure: The number of certification licenses issued annually.

Baseline: In FY09 the Division of Compliance Assistance issued the following certification licenses:

Wastewater Certifications -	180
Wastewater Certification Renewals -	1068
Drinking Water Certifications -	273
Drinking Water Certification Renewals -	273
Solid Waste Certifications -	138

Action 1.1.1: Process certification applications and administer examinations in an accurate and timely manner to ensure that individuals possess the minimum competencies necessary to properly perform their professional duties.

Action 1.1.2: Participate in recruitment efforts to encourage individuals to consider the operator profession.

Action 1.1.3: Provide administrative support for the Kentucky Board of Certification of Wastewater System Operators and the Kentucky Board of Certification of Water Treatment and Distribution System Operators.

Action 1.1.4: Increase the program's state and national involvement to stay informed of operator issues, ensure the program is prepared to respond to changing needs, and influence the state and national policy related to certified operators.

Objective 2 - Help entities comply with Kentucky's environmental requirements.

Tactic 2.1: Provide quality, one-on-one assistance services that help regulated entities comply with environmental obligations.

Measure: Changes in environmental knowledge and behavior resulting from one-on-one assistance activities.

Baseline: In FY08, the Division of Compliance Assistance responded to and received feedback from clients;:

Client Assistance Requests -	867
Small Business Assistance Requests -	289
Client Response -	72% indicated a change in knowledge 83% indicated a behavior change

Action 2.1.1: Implement effective, proactive and reactive multi-media compliance assistance services with a special emphasis on small businesses and communities to enhance environmental performance.

Action 2.1.2: Serve as point of contact and advocate for the public and entities regulated by the Department to ensure that department programs are appropriately implemented.

Action 2.1.3: Communicate the availability of compliance assistance and the benefits of the program.

Tactic 2.2: Implement meaningful programming that provides individuals with the knowledge needed to increase environmental compliance and performance.

Measure: Percent satisfaction from training events and the number of individuals reached through training and resources developed.

Baseline: FY12 indicators for communication tools and training:

88.5% satisfaction from training evaluations

1833 – Number of individuals trained on compliance topics (OCP and ECAP trainings)

11 – Number of regulatory resources developed

8 – Number of regulatory trainings provided

Action 2.2.1: Work with agencies within DEP to produce and facilitate quality training that includes accurate and timely technical and regulatory information.

Action 2.2.2: Work with agencies within DEP to provide resources that clarify environmental requirements and offer technical solutions to common challenges.

Action 2.2.3: Establish communication tools that empower the regulated community to determine the environmental obligations that apply to their location and the resources that are available to make it easier for them to comply.

Action 2.2.4: Work with other state and federal agencies to develop comprehensive educational resources for the public.

Action 2.2.5: Develop and implement an outreach strategy to address communities impacted by environmental regulations.

Objective 3 – Facilitate Environmental Stewardship

Tactic 3.1: Encourage environmental stewardship by making the public more aware of the opportunities they can act on to make their communities stronger and healthier.

Measure: Number of entities assisted with stewardship projects and individuals trained.

Baseline: FY12 indicators are as follows:

10 – Number of entities assisted with stewardship projects

112 – Number of individuals trained on stewardship topics (Brownfield and KY EXCEL)

10,586 – Audience reached through DCA communication tools (Facebook, Exhibits, Presentations, and LAW)

Action 3.1.1: Provide quality, one-on-one assistance services that help individuals identify, plan, and implement environmental projects that are not required by Kentucky law.

Action 3.1.2: Offer quality environmental stewardship training to enable actions that improve Kentucky’s environment and create healthier, stronger communities.

Action 3.1.3: Develop and compile stewardship resources in partnership with organizations, state and local agencies, nonprofit entities and other stakeholders.

Action 3.1.4: Establish communication tools that empower the regulated community to determine the stewardship resources that are available to increase their sustainability.

Tactic 3.2: Recognize and publicize voluntary actions that improve Kentucky’s environment and promote environmental awareness.

Measure: The number of voluntary actions identified as a result of DCA programs.

Baseline: In FY10, the number of voluntary actions observed was as follows:

New KY EXCEL Voluntary Projects -	121
Environmental Stewardship Award Nominations -	44
Eco-Art Submissions -	13

Action 3.2.1: Implement KY EXCEL, an environmental leadership program that recognizes entities for their voluntary commitments to improve Kentucky’s environment.

Action 3.2.2: Administer the Department’s environmental stewardship award program.

Action 3.2.3: Hold an annual eco-art contest for high school students.

Action 3.2.4: Communicate the successes of Kentucky’s environmental stewards.

Tactic 3.3: Increase visibility of the Brownfield Redevelopment Program by providing technical and fiscal assistance opportunities.

Measure: The amount of Brownfield communication tools developed and Targeted Brownfield Assessments conducted.

Baseline: In FY13, the DCA's Brownfield program conducted the following outreach and assessment activities

22 - Communication tools developed

8 - Number of applicants assisted with grant applications

5 - Number of Targeted Brownfield Assessments (Phase I assessments, Phase I updates, Phase II assessments)

Measure: Amount of funds issued through the Cleaner Commonwealth Fund.

Baseline: In FY13, the DCA's Brownfield program managed the following funding support activities

5 - Number of grant and loan applications received

\$98,000 - Amount of CCF grants obligated

\$0 - Amount of CCF loans issued

Action 3.3.1: Serve as a resource that encourages environmentally sustainable communities and facilitates the cleanup and beneficial reuse of Brownfield properties.

Action 3.3.2: Establish communication tools that raise awareness and empower communities to initiate a Brownfield redevelopment projects.

Action 3.3.3: Provide Targeted Brownfield Assessments with the goal of redevelopment.

Action 3.3.4: Develop procedures and tracking mechanisms for CCF grant and loan.

Action 3.3.5: Promotion of federal funding opportunities and assisting eligible entities in grant preparation.